# RECYCLABILITY EVALUATION PROTOCOL

FOR PET TRAYS

STANDARD LABORATORY PRACTICE REP-PETtray-01

VERSION 1.0 PUBLISHED ON OCTOBER 2025

### CONTENT

| CONT | ENT  | 2  |
|------|--|----|
| 1.   | INTRODUCTION AND PURPOSE OF THE PROTOCOL     |    |
| 2.   | SCOPE OF THE PROTOCOL                        | 5  |
| 3.   | DISCLAIMER                                   | 5  |
| 4.   | LABORATORY TEST METHODOLOGY                  | 6  |
| 4.1  | CONTROL SAMPLE SELECTION                     | 7  |
| 4.2  | VIRGIN SAMPLE SELECTION                      | 8  |
| 5.   | LABORATORY TEST PROCEDURES                   | 9  |
| 5.1  | PRE-TREATMENT STEPS                          | 9  |
| 5.2  | EXTRUSION                                    |    |
| 5.3  | CRYSTALLISATION & SOLID-STATE POLYMERISATION | 15 |
| 5.4  | CONVERSION                                   | 16 |
| DOCU | MENT VERSION HISTORY                         | 18 |
| ANNE | XI – CONTROL & VIRGIN SAMPLES SELECTION      | 19 |
| ANNE | X II – PRE TREATMENTS                        | 19 |

#### **DISCLAIMER**

"RecyClass is a non-profit, cross-industry initiative advancing recyclability, bringing transparency to the origin of plastic waste and establishing a harmonized approach toward recycled plastic calculation & traceability in Europe. The Recyclability Evaluation Protocols will promote recyclability by encouraging the industry to test new plastic technologies, materials or product, providing recommendations on improving their recyclability before market launch.

The Recyclability Evaluation Protocols are freely available for download in the *RecyClass website*. Companies developing new plastic concepts are encouraged to use them to self-assess the impact of their solutions on recyclability and highlight potential issues. **However, compliance with a Recyclability Evaluation Protocol is not a replacement for an official assessment and may not be used as a marketing tool.** The RecyClass Steering Board, following the recommendations of the Technical Committees, will decide on the compatibility of the innovation with recycling according to the evaluation results, granting Recyclability Approval Letter to the Applicant.

All tests must follow the Evaluation Protocols recommended by the RecyClass Technical Committees and must be conducted by an independent laboratory recognised by RecyClass which has no legal affiliation to the applicant.

More information is reported in the RecyClass Internal Procedures available in the RecyClass website."

#### 1. INTRODUCTION AND PURPOSE OF THE PROTOCOL

The "RecyClass¹ Recyclability Evaluation Protocol for PET thermoforms" referred to in this document as "The Protocol" describes the methodology that must be followed by the applicant at a laboratory scale in order to determine if a plastic packaging innovation is compatible with the post-consumer PET thermoforms recycling streams, meaning transparent clear and coloured mono-PET thermoforms streams. The Protocol targets companies responsible for introducing a packaging product into the market. The applicant shall proceed with the Protocol as established in the Assessment Process for Applicants of Recyclability Evaluation in the RecyClass Internal Procedures² and "RecyClass Recyclability Approval Quality Management & Procedures document³.

The Protocol analyses whether an innovation will undergo the necessary pre-treatment, extrusion and conversion steps described in this methodology at a laboratory scale without negatively impacting the recycling process. It aims to guarantee recyclability<sup>4</sup> of plastics packaging while encouraging innovation in the PET thermoform market. The overall goal is to maintain the protection of packaged goods and their marketing display functions without obstructing the proper functioning of the PET thermoforms recycling process. RecyClass protocol targets benchmarks that are based on requirements for tray-to-tray closed loop applications.

This document provides guidance on the tests methodology that shall be followed, including benchmark recommendations to guide the interpretation of the results.

PET thermoforms terminology, as it is used in this document, is defined as a rigid plastic thermoformed packaging (tray, blister, ...) predominantly used for food, cosmetics applications or secondary packaging.

<sup>1</sup> RecyClass assesses the recyclability of a plastic package providing a ranking from A to C. RecyClass also provides specific indications and recommendations on how to improve packaging design to fit current recycling technologies. More information at <a href="https://recyclass.eu/">https://recyclass.eu/</a>

<sup>&</sup>lt;sup>2</sup> RecyClass Internal Procedures

<sup>&</sup>lt;sup>3</sup> RecyClass Technology & Product Approval Quality Management & Procedures

<sup>4</sup> Recyclability definition according to PRE & APR: Plastics must meet four conditions for a product to be considered recyclable: 1. The product must be made with a plastic that is collected for recycling, has market value and/or is supported by a legislatively mandated program. 2. The product must be sorted and aggregated into defined streams for recycling processes. 3. The product can be processed and reclaimed/recycled with commercial recycling processes. 4. The recycled plastic becomes a raw material that is used in the production of new products.

#### 2. SCOPE OF THE PROTOCOL

The scope of the Protocol covers any innovation introduced to the existing packaging solutions for PET thermoforms. Prior to initiating the evaluation, the applicant shall review the Design for Recycling Guidelines for clear transparent and coloured PET thermoforms<sup>5</sup> in order to confirm that the PET innovative thermoform is compatible with these requirements.

The following packaging solutions and/or innovations are covered by the scope of this Protocol:

- 1. PET resins
- 2. Barrier materials
- 3. Additives that do not affect the density of the PET packaging
- 4. PET and non-PET closure systems
- 5. PET and non-PET liners, seals, and valves
- 6. Decorations of PET thermoforms
- 7. Adhesives for lids, labels or soaking pads
- 8. Printing and inks

Following RecyClass recyclability methodology<sup>6</sup>, packaging containing aluminium, metal, degradable plastics, black carbon surface, paper lidding film, as well as PVC, PVDC and PC layers are considered disqualified for PET trays recyclability. By consequence, packaging containing one of these features do not fall under the scope of this Protocol.

#### 3. DISCLAIMER

The Protocol is created to represent as accurately as possible how the actual PET thermoforms recycling works at an industrial scale. While the recycling of PET thermoforms on an industrial scale is already in place, no standard industrial practice has emerged yet, therefore the present Protocol is referring to the best-known practices. Recyclass PET Technical Committee reserves the right for further testing if necessary, to issue a final opinion on the recyclability of the tested packaging. Note that the Recyclability Evaluation Protocol establishes some benchmark recommendations to guide the decision-making process. However, not all the properties listed in the protocol are provided with a benchmark recommendation, being the evaluation also based on the technical expertise of the Technical Committee.

Sorting behavior of PET thermoforms is also important to consider, since some innovations (in particular decorations) can negatively affect the sorting efficiency to the right PET stream. Therefore, it is recommended to perform a sorting test according to RecyClass Sorting Evaluation Protocol for Plastic Packaging to ensure that packaging presenting a risk of missorting is sorted in the right PET stream.

Furthermore, within RecyClass, "easy-to-empty" and "easy-to-access" indexes are important factors when considering the recyclability of a package. Despite washing operation at a recycling facility uses hot washing conditions, with detergents and caustic soda, some residues can persist after washing. Consequently, any food or product residue constitutes an impurity for the recycling stream. RecyClass encourages testing to verify that the package is "easy-to-empty" and therefore ensures the minimum amount of leftover material at the end of its useful life. Nonetheless, this factor is beyond the scope of this Protocol.

<sup>5</sup> Design for Recycling Guidelines

<sup>&</sup>lt;sup>6</sup> RecyClass Methodology

#### 4. LABORATORY TEST METHODOLOGY

This methodology aims to reproduce the recycling process at laboratory scale to determine the suitability of an innovation for the PET trays recycling streams. The methodology described below shall be followed precisely and any modifications or problems must be noted by laboratory technicians during the testing phase. A Laboratory Evaluation Report compiling objectively all the results obtained shall be prepared and submitted to the RecyClass PET Technical Committee which will interpret the final results and define the compatibility or not with recycling. Any remarks during the laboratory tests described in the Protocol shall be also noted down.

See below in Figure 1 a diagram where the flow of the methodology is described.

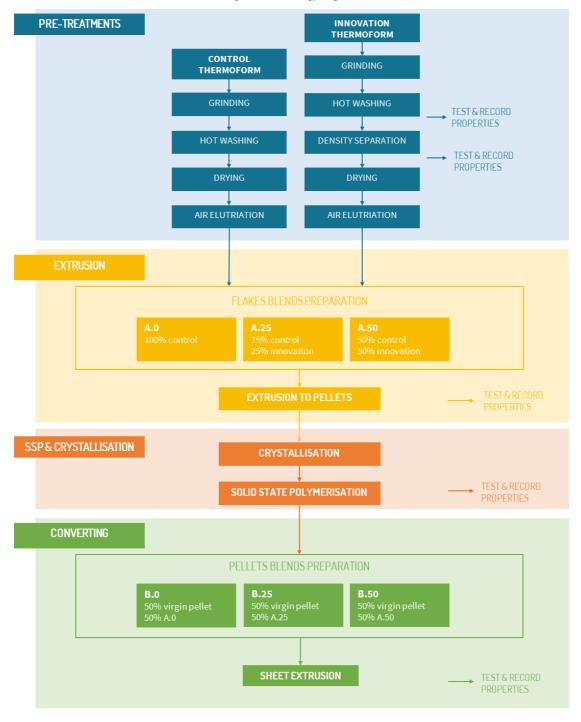


Figure 1: Methodology Diagram

#### 4.1 CONTROL SAMPLE SELECTION

The control sample for use following the Protocol can be selected as:

- **Option 1:** Mono PET thermoform (100 % PET) produced using the exact same PET grade as the innovative packaging. The PET grades for such options are listed in the Annex 1 and must be approved by the RecyClass PET TC.
- **Option 2:** The applicant can select a PET resin listed in the Annex to be used as control for this Protocol, with/upon the approval of the PET RecyClass Technical Committee. To obtain the control, the selected PET resin must be processed and transformed into mono PET thermoforms. The same physical form as the innovative material should be preferred.

These options are to be used to make both the control materials and the blends with innovation flakes that will contain the innovative feature(s) (barrier, adhesive, additive, coating, label, multilayer resin, etc.) for the recyclability study.

Since control material is used as reference to evaluate the impact of the innovation, A.0 and B.0 (see Figure 1), it needs to fulfil some minimum requirements to make the Recyclability Evaluation valid. RecyClass recognised testing facilities are aware of these minimum requirements and will inform both the Applicant and RecyClass in case of deviations.

For the purpose of the tests the amount of material that the Applicant should provide will depend upon the equipment and scale used in each laboratory. Usually, at least 10 kg of innovation material (as packaging) and 25 kg of control material (as packaging) will be requested to prepare blends of at least 5 kg each. More innovation sample could be requested in case optional tests are required by the RecyClass PET Technical Committee. It is worth pointing out that the protocol should be used to test innovations as specific parts of a packaging, meaning that all the decorations or elements of the packaging do not need to be present. The objective is to discriminate the impact of a specific innovation on the recyclability of PET thermoforms. Note that full packaging (with labels, decoration, closures, ...) can also be assessed according to the present protocol.

#### 4.2 VIRGIN SAMPLE SELECTION

The virgin PET sample to be used in this Protocol can be selected from the PET resins listed in the Annex 1 and used as it is (i.e., without applying any thermal pre-treatment). The virgin PET sample should not correspond to PET thermoform flakes, but must be an unprocessed PET resin.

#### 5. LABORATORY TEST PROCEDURES

#### 5.1 PRE-TREATMENT STEPS

#### 5.1.1 GRINDING

Control and innovation samples are separately ground in order to fit the throat of a standard laboratory extruder.

#### **Procedure:**

- Report the mass of each sample before grinding as m<sub>0</sub> in the table in Annex 2.
- Grind separately control and innovation sample to flakes using a 20 mm screen with a dry grinder, leading to 8-12 mm flakes.
- Report the quantity of fines (< 1 mm) that is obtained.
- Store in separate containers.
- Take photos of each fraction.
- Keep about 20 g of control and innovation flakes for IV and colour measurement. Remove this mass from  $m_0$  to have a fair comparison of  $m_1$  to  $m_0$ .
- Report the mass of each sample after grinding as  $m_1$  (Annex 2).

As PET Thermoforms are generally very brittle, the fines (< 2 mm) and losses during the grinding step should not represent more than 15 wt% combined.

#### 5.1.2 WASHING

Control and innovation samples are washed to test the impact on hot washing operations. Washing for PET trays is commonly made in presence of caustic soda as well as detergents and is multiple steps processThe following procedures have to be utilized for both control and innovation samples separately.

The tank to be used should respect the following conditions:

- Sized to allow PET flake and water to be mixed at a 1:4 ratio by weight.
- Will allow a recommended ratio of the water height-to-the tank width of 0.8 to 1.0 and not less than 0.6 or greater than 1.5.
- Fitted with a mixer with an impellor blade length at least 1/3 of the mixing tank diameter or width, and with variable speed capability for impeller.
- Incorporating at least three baffles when a round tank is used; baffles not necessary in a square tank

#### **Procedure washing:**

- Prepare the washing stainless steel tank for a 1:4 ratio (1 kg flakes vs 4 L solution) at 70°C with a solution of 1.6 wt% NaOH and 0.3 wt% MacDermid RP-34 detergent.
- Wash pre-washed control and innovation samples separately at a 1:4 ratio (1 kg flakes vs 4 L solution) at 500 rpm for 15 minutes. Report the presence and amount of fines.

- Flakes are collected and separated from the washing solution over a vibrating table and through a centrifuge or similar equipment.
- Take photos of the washed solution and flakes after washing.

#### **Procedure hot rinsing:**

- Prepare the hot rinsing stainless steel tank for a 1:4 ratio (1 kg flakes vs 4 L solution) at 45 °C with tap water.
- Rinse washed control and innovation samples separately at a 1:4 ratio (1 kg flakes vs 4 L solution) at 240 rpm for 5 minutes.
- Flakes are collected and separated from the hot rinsing solution over a vibrating table and through a centrifuge or similar equipment.
- Take photos of the hot rinsing solution and flakes after hot rinsing.
- Report the mass of each sample after hot rinsing as m2 (Annex 2). Report also the amount of fines collected.

#### 5.1.3 DENSITY SEPARATION

The density separation will determine if the flakes can be separated by density in the float/sink tank used in the recycling operation. Density separation will happen at the same time as the cold rinsing step.

The following procedure has to be utilized for both control and innovation samples separately.

#### **General Procedure:**

- Pour the washed flakes in a tank filled with water at a 1:10 weight ratio at a room temperature. The tank should be high enough to enable separation of sinking and floating fractions. Add 0.1 wt% of a non-ionic surfactant such as MacDermid RP 34.
- Stir at 240 rpm for 5 minutes.
- Stop the stirrer and allow the water to rest for 5 minutes.
- Remove the stirrer from the tank.
- Collect all particles that float on the surface with a sieve.
- Collect separately the flakes that sunk.
- Report the mass of innovation sample after sink-float separation as  $m_3 f$  and  $m_3 s$  for floating and sinking fraction respectively (Annex 2)

The efficiency of the sink/float separation should be measured using 50 g of washed flakes of innovative samples and a graduated beaker filled with tap water. The following procedure must be followed for the efficiency measurement on 50g sample.

#### **Procedure to evaluate sink/float efficiency:**

- Fill a 1 l graduated beaker with 700 ml of tap water (pH between 7 and 8).
- Boil the water for 10 minutes, and then cool at room temperature.
- Transfer 500 ml of water in a graduated beaker.
- Put the innovative sample in the water and stir at 240 rpm (overhead stirrer to be used) for 5 minutes.
- Stop the overhead stirrer and allow the water to rest for 5 minutes.
- Take photo of the beaker.

- Remove all particles that float at the surface with a sieve.
- Take photos of the floating and sinking fractions separately.
- Save the wash water for visual evaluation.
- Dry the sinking fraction at maximum 80°C to reach a moisture level below 1%.
- Cool to room temperature, weigh and record the weight of the sinking fraction.
- Calculate the test efficiency as:

$$p = \frac{W_S}{W_I} = \frac{(W_I - W_F)}{W_I} \times 100 \, [\%]$$

Where:

η: Test efficiency

W<sub>F</sub>: weight of floating fraction

 $W_S$ : weight of sinking fraction  $W_I$ : weight of innovative sample

#### 5.1.4 DRYING

Reduce the flake moisture according to the following procedure.

#### **Procedure:**

- Dry the flakes collected after density separation with hot air without the application of vacuum until 1 % moisture content is reached. The outlet temperature of the flakes should remain below 60  $^{\circ}$ C.
- Report the mass of each sample after drying as  $m_4$  (Annex 2).
- Record the moisture content.

According to the mass measured at the different steps of the pre-treatment, fill the table in Annex 2 and determine the pre-treatment yield for both control and innovation as following:

$$\eta_{PT} = \frac{m_4}{m_0}$$

Where:

η<sub>PT</sub>: Pre-treatment yield

 $m_0$ : mass of sample before grinding  $m_4$ : mass of sample after drying

#### 5.1.5 AIR ELUTRIATION

Control and innovation PET flakes are elutriated with air to remove the light fraction containing fines, remaining labels and potentially multilayers. A zig-zag air elutriator is recommended for this test.

#### **Procedure:**

- Elutriate with air with one pass and with about 1% loss set for the control flakes.
- Similar settings for the air elutriation must be applied for the innovation sample.

- Weigh the heavy fraction for innovation samples.

#### 5.1.6 FLAKES PROPERTIES CHARACTERISATION

Flakes characterisation must be performed according to the Table 1. Innovation flakes properties will be compared with the ones of the control sample. All flakes should meet the requirements reported in the Table 3.

Table 1: Flake characterisation before and after washing

| ASSESSMENT                 | STANDARD   | BENCHMARK RECOMMENDATION  |
|----------------------------|--|---|
| Intrinsic viscosity (dL/g) | ISO 1628-1:2021  | < 0.05 dL/g delta to the control  |
| Colour (L*,a*,b*)          | (L*, a*, b*) + ΔE (innovation to control)  Reflectance mode, D65, 8-10°, SCI gloss setting | Record Determine $\Delta E = \sqrt{((a - a_0)^2 + (b - b_0)^2 + (L - L_0)^2)}$                  |
| Bulk Density (kg/m³)       | Annex B of EN 15344  | Superior to 350 kg/m <sup>3</sup>   |
| Oven test                  | 10g of material to be heat at 220°C for 1h   | Record with pictures, before and after oven test<br>Report any discoloration (general or local) |

#### 5.2 EXTRUSION

#### 5.2.1 FLAKE BLENDS PREPARATION

For each sample obtained, to evaluate and record the properties of innovative PET thermoforms against control as laid out in this Protocol, a set of flake blends is prepared as described in Table 2. Blends shall be produced once the control and innovation thermoforms have separately gone through all pre-treatment steps described above.

Keep separated the control and innovation flakes obtained following the previous steps, and air dry for 24 hours at ambient air. Then, according to the values reported in Table 2 prepare three different blends with 100% control (and 0% innovation), 75% control - 25% innovation, 50% control - 50% innovation, and tag them respectively as A.O, A.25, A.50 (See Table 2).

For the purpose of the tests, the Applicant should provide enough innovation and control materials which allows for the blend preparations (cf. section 4.1.).

| BLEND | COMPOSITION  | % CONTROL FLAKES | % INNOVATION FLAKES |
|-------|--|------------------|---------------------|
| A.0   | 100 % Control thermoforms                          | 100              | 0                   |
| A.25  | 75 % Control thermoform 25 % Innovation thermoform | 75               | 25                  |
| A.50  | 50 % Control thermoform 50 % Innovation thermoform | 50               | 50                  |

Table 2: Flake blends composition for the production of pellets

#### 5.2.2 PELLETS PRODUCTION

Both control and innovation flakes can be mixed manually before extrusion for blends preparation. The flakes will be dried at the same conditions with a desiccant bed drying unit or with hot air at 160 °C maximum and extruded using a single screw extruder at a melt temperature of 285 °C. Note that twin screw extruder may be used under specific conditions, previously discussed with laboratories. The extrudate will be melt filtered with a 100  $\mu$ m filter (40/150/40 mesh filter pack).

Control flake sample A.0 has to be extruded first. Further size reduction before extrusion is acceptable if needed to allow good feeding of the material into the extruder.

The extruder has to be cleaned before starting the extrusion process. This involves pulling the screws out of the barrel and then mechanically cleaning them with brass brushes until they reach a glossy finish. The barrel has to be also mechanically cleaned with round brass brushes from the mandrel to the run-out zone.

#### **Procedure:**

- Dry samples A.O, A.25 and A.50 with a bed desiccant at 160 °C, to obtain a moisture level inferior to 50 ppm. Typical drying time at 160 °C is 4-6 hours.
- Extrude at a melt temperature of  $285 \pm 5$  °C with a suggested filtration screen of  $100 \, \mu m$  ( $40/150/40 \, mesh$  filter pack). Usually a 25 to 35 mm extruder with a 24:1 to 36:1 L/D is suitable for laboratory use. If the range is not optimal, record temperature and state reasons for alteration. Melt residence time should be less than 6 minutes.

- Recommended throughput is between 5 and 10 kg/h and rotation speed between 100 and 200 rpm.
- Extrusion run time per variable, no less than 30 minutes.
- Extruded strands are rapidly cooled within a water bath, then dried and fed into the pelletizer to produce amorphous pellets. Pellets should be about 2.5 mm of diameter, and pellet weight of 1.6 to 2.0 g/ 100 pellets is suggested.
- Maintain pressure increase to less than 25 % from the control over a stable 15 minutes run time.
- A small amount of the dried samples will be extracted from the dryer before they enter the extruder. This will allow to evaluate the impact of the drying process on the agglomeration of the flake samples. Agglomerated flakes should represent less than 1 % of the collected sample.
- After emptying the dryer, the hopper will be checked for flakes sticking to the hopper sidewall. Agglomeration should not lead to problems emptying the hopper by gravity without additional mechanical action. The cone of the hopper should have an angle of 60-70 degrees.

Record properties' results in Table 3. The processing conditions used for all the samples must be identical. If some operating conditions have to be modified for A.25 and/or A.50 sample, this information must be documented in the report. A small amount of each sample (50 g) will be retained for RecyClass PET Technical Committee and the Applicant. The extruded pellets will be tested for pellet properties evaluation (Table 3). The pellets of the test samples will be compared with the pellets of the control sample. All pellets should meet the requirements reported in the Table 3.

#### 5.2.2.1 PELLET PROPERTIES EVALUATION

Table 3: Pellets properties evaluations

| ASSESSMENT                      | STANDARD   | BENCHMARK<br>RECOMMENDATION  |
|---------------------------------|--|--|
| Intrinsic viscosity (dL/g)      | ISO 1628-1:2021  | IV drop of A.25 and A.50 is inferior to<br>the IV drop of the A.0 ± 0.02 dL/g (IV<br>drops are measured comparing IV of<br>pellets to unwashed flakes)<br>IV > 0.72 dL/g |
| Filtration (100 μm)             | Visual inspection  | No build-up on screen  |
| Impurities (unmolten particles) | Visual inspection  | Record   |
| Surface appearance              | Visual inspection  | Record   |
| Moisture level                  | Moisture analyser  | Record   |
| Reflection Colour               | $(L^*, a^*, b^*) + \Delta E$ (comparison to A.0)<br>Reflectance mode, D65, 8-10 °, SCI gloss setting                 | Record $\Delta E < 5$ and $b^* < 4$ for clear stream   |
| Average Pressure (MPa)          | Average pressure after extruding through 100 μm filter for the stable 15 minutes run time, compared to 100 % control | No more than a 25 % delta increase to A.0  |

| Pressure Variation (MPa) | $\left(\Delta P_{25\text{-}30\text{minutes}} - \Delta P_{5\text{ first minutes}}\right)$ | No increase higher than 25 % compared to start |
|--------------------------|--|--|
| Extrusion process        | Unusual sticking, fumes, odour, and any build-up   | Record   |

#### 5.3 CRYSTALLISATION & SOLID-STATE POLYMERISATION

Both A.0, A.25 and A.50 amorphous pellets have to be treated through crystallisation and solid stating polymerisation (SSP) processes. These steps of the process will allow to obtain crystallised PET pellets, which will then be characterised. A.0, A.25 and A.50 amorphous pellets must be processed separately. This procedure must be used prior conversion. (see section 5.3.1)

#### 5.3.1 CRYSTALLISATION

The crystallisation step must be performed in an oven or a crystallizer at 160 °C for 1 hour. If vacuum oven is not available, nitrogen atmosphere should be used and mentioned in the report. It is important that pellets are efficiently crystallised and are not discoloured or sticking together during the crystallisation process. Store crystallized pellets in a sealed container or under dry conditions to maintain their moisture content < 2500 ppm.

#### 5.3.2 SOLID-STATE POLYMERISATION (SSP)

The SSP step is used to prepare the samples for the injection moulding step, but also to determine the solid stating speed. For injection moulding conversion, SSP must be done as following, with similar conditions for control and innovation samples:

- SSP temperature must be set at 205  $^{\circ}$ C (sample temperature). Report parameters for the SSP, like vacuum level, fill level or nitrogen flow.
- SSP process must last 8 hours, with the time starting from the moment sample reaches 200  $^{\circ}\text{C}.$
- Samples must reach 205 °C within the first 2 hours.
- About 50 g of samples must be collected after respectively 2, 4, 6 and 8 hours of SSP for characterization.
- After 8 hours of SSP, samples must be kept at room temperature for cooling down.

#### 5.3.2.1 SOLID STATE PELLETS CHARACTERISATION

Table 4: Solid state pellets properties evaluation

| ASSESSMENT                       | STANDARD   | BENCHMARK RECOMMENDATION   |
|----------------------------------|--|--|
| Intrinsic viscosity (dL/g)       | ISO 1628-1:2021  | IV curve over time to determine SSP rate from 2 to 8 hours. SSP rate: No more than 10 % delta variation to control |
| Melt temperature (°C)            | ISO 11357-3:2018 (Heat-cool-heat<br>cycle at 10 °C/min (heating and<br>cooling) from 40 °C to 300 °C with<br>2 minutes of isotherm between<br>each ramp) | Melt temperature second heat: No more than 10 % delta variation to control   |
| Reflection Colour                | (L*, a*, b*) + ΔE (comparison to<br>A.0)<br>Reflectance mode, D65, 8-10°, SCI<br>gloss setting   | ΔE < 5 for the clear transparent stream  |
| Acetaldehyde concentration (ppm) | -  | No more than 35 % delta increase to control  |

#### 5.4 CONVERSION

Prior the recyclability assessment, the RecyClass PET Technical Committee will decide the process to be used for conversion according to the highest value recyclate application for the innovation and to the characteristics of the material to be evaluated. In the present case, PET thermoforms recyclate will be converted via sheet extrusion for colour evaluation. At that stage, an additional dilution with virgin PET will be done to replicate the incorporation of recycled content at industrial scale.

#### 5.4.1 PELLET BLENDS PREPARATION

Once PET pellets have been produced and went through the SSP treatment, additional blends of 50% virgin – 50% blend "A.X" (X being 0, 25, or 50) shall be produced for converting tests. Keep separated the pellet samples previously produced and dry them at 160 °C maximum to reach a moisture level below 50 ppm. Then according to the values reported in following Table 4 prepare the different blends based on the A.X samples produced before. These new blends must be tagged as samples B.0, B.25 and B.50 respectively.

For the purpose of the tests the Applicant should provide enough virgin materials which allows for the blend preparations. The laboratory carrying out the Protocol testing can define the amounts according to their best knowledge. Such virgin material must be a grade listed in the Annex 1.

Different blends made of 50 % virgin pellet – 50 % Blend A shall be produced as described in Table 5. Blends will be composed of 0 %, 12.5 % and 25 % content of the initial PET innovation.

Table 5 Pellet blends composition for the application tests

| BLEND | COMPOSITION                     | % VIRGIN RESIN | EFFECTIVE % CONTROL PET | EFFECTIVE %<br>INNOVATION<br>SAMPLE |
|-------|---------------------------------|----------------|-------------------------|-------------------------------------|
| B.0   | 50 % Virgin Pellet<br>50 % A.0  | 50             | 50                      | 0                                   |
| B.25  | 50 % Virgin Pellet<br>50 % A.25 | 50             | 37.5                    | 12.5                                |
| B.50  | 50 % Virgin Pellet<br>50 % A.50 | 50             | 25                      | 25                                  |

#### 5.4.2 SHEET EXTRUSION

Sheets will be produced via sheet extrusion in order to perform colour and mechanical characterization of both control and innovative samples. Production of the 400  $\mu$ m sheets should be done as following:

#### **Procedure:**

- Dry the samples B.0, B.25 (and optionally B.50) at 160 °C maximum to reach a moisture level below 50 ppm.
- Extrude sample B.0 at 270  $\pm$  5 °C into sheets. Sheets must measure about 400  $\pm$  25  $\mu$ m thickness.
- The run time is variable, but should be not less than 30 minutes. The specimens should be completely filled without any shrinkage, or inclusions.
- Samples B.25 and B.50 have to be extruded following the identical operating conditions of the control sample B.0.
- Tag the sheets produced by B.0, B.25 and B.50 as C.0, C.25 and C.50, respectively.

#### 5.4.2.1 INJECTION MOULDED PLAQUES PROPERTIES CHARACTERISATION

Table 6: Injection moulded plaques properties evaluation

| ASSESSMENT                                 | STANDARDS   | BENCHMARK RECOMMENDATIONS  |
|--|---|--|
| Thickness (µm)                             | -   | 400 ± 25 μm  |
| Colour measurement<br>(Transmittance mode) | L*, a*, b* and ΔE (compared to C.0)<br>D65 illuminant, 8-10 °angle, SCI gloss<br>settings | $\Delta E$ < 2.5 for the clear transparent stream                          |
| Intrinsic viscosity (dL/g)                 | ISO 1628-1:2021   | > 0.55 dL/g, preferably > 0.6 dL/g   |
| Haze (%)                                   | DIN EN ISO 14782<br>Measurement at 550 nm   | < 15 %   |
| Surface Appearance                         |   | Report any black spots or gels presence with their size, shape and colour. |

#### **DOCUMENT VERSION HISTORY**

| VERSION | PUBLICATION DATE | REVISION NOTES   |
|---------|------------------|--|
| 1.0     | October 2024     | RecyClass Recyclability Evaluation Protocol for PET Thermoforms release REP-PETtray-01 |

#### ANNEX I - CONTROL & VIRGIN SAMPLES SELECTION

| TYPE OF RESIN | PET RESINS*                | Melting Point,<br>°C | Intrisic viscosity,<br>dL/g |
|---------------|----------------------------|----------------------|-----------------------------|
| PET           | Equipolymers Lighter C93   | 247                  | 0,80                        |
| PET           | Indorama RAMAPET N1        | 247                  | 0,80                        |
| PET           | Indorama RAMAPET N180      | 245                  | 0,80                        |
| PET           | Indorama RAMAPET N1S       | 247                  | 0,82                        |
| PET           | Indorama 1708 CC (US only) | 247                  | 0,80                        |
| PET           | Lotte PET Cool             | 245                  | 0.80                        |
| PET           | Plastiverd Global          | 245                  | 0.80                        |

<sup>\*</sup>Other PET grades with similar intrinsic viscosity and melting point from alternative suppliers can also be accepted.

#### ANNEX II - PRE TREATMENTS

| MASS (g)  | CONTROL SAMPLE | INNOVATION SAMPLE |
|---|----------------|-------------------|
| Before grinding: m₀   |                |                   |
| After grinding: m <sub>1</sub>                                  |                |                   |
| After washing: m <sub>2</sub>                                   |                |                   |
| Floating fraction after sink-float separation: m <sub>3</sub> f |                |                   |
| Sinking fraction after sink-float separation: m₃s               |                |                   |
| After drying: m₄  |                |                   |
| Pre-treatment yield: <b>η</b> РТ                                |                |                   |

Avenue de Broqueville 12 1150 Brussels – Belgium

Phone: +32 2 786 39 08 info@recyclass.eu

www.recyclass.eu